



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barkalow, D., et al.
Appl. No.: 10/036,681
Filed: November 8, 2001
Title: PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF
MAKING THE SAME
Art Unit: Unknown
Examiner: Unknown
Docket No.: 112703-198

Assistant Commissioner for Patents
Washington, DC 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,948,430	September 7, 1999	Zerbe et al.

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
0 547 551 A1	June 23, 1993	Europe
WO 00/42992	July 27, 2000	PCT
WO 01/07507 A1	February 1, 2001	PCT

Applicants look forward to early and favorable consideration of this matter.

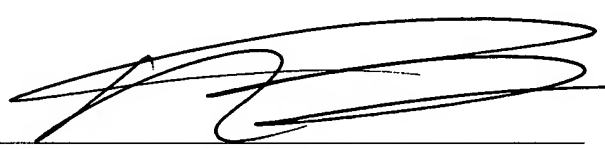
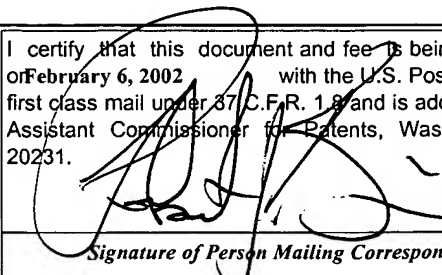
Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Robert M. Barrett
Reg. No. 30,142
P.O. Box 1135
Chicago, Illinois 60690-1135
Phone: (312) 807-4204

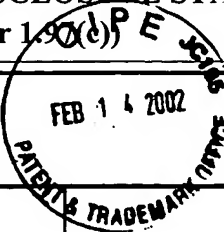
0400 #2

TRANSMITTAL LETTER (General - Patent Pending)			Docket No. 112703-198
In Re Application Of: Barkalow, D., et al.			
Serial No. 10/036,681	Filing Date November 8, 2001	Examiner Unknown	Group Art Unit Unknown
Title: PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF MAKING THE SAME			
<p style="text-align: center;"><u>TO THE ASSISTANT COMMISSIONER FOR PATENTS:</u></p> <p>Transmitted herewith is:</p> <p>Transmittal of Information Disclosure Statement (Duplicate); Supplemental Information Disclosure Statement (2 pgs.); PTO Form 1449 (1 pg.) ; Four References; return receipt postcard.</p> <p>in the above identified application.</p> <p><input checked="" type="checkbox"/> No additional fee is required.</p> <p><input type="checkbox"/> A check in the amount of _____ is attached.</p> <p><input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> Charge the amount of _____</p> <p><input checked="" type="checkbox"/> Credit any overpayment.</p> <p><input checked="" type="checkbox"/> Charge any additional fee required.</p> <div style="text-align: center;"> _____ Signature</div> <p>Dated: February 6, 2002</p> <p>R bert M. Barrett (Reg. No. 30,142) Bell, Boyd & Lloyd LLC P.O. Box 1135 Chicago, Illinois 60690-1135 Tel: 312/807-4204 Fax: 312/372-2098</p> <div style="border: 1px solid black; padding: 5px;"><p>I certify that this document and fee is being deposited on February 6, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.</p><p style="text-align: center;"> _____ Signature of Person Mailing Correspondence</p><p style="text-align: center;">Robert Buccieri _____ Typed or Printed Name of Person Mailing Correspondence</p></div> <p>CC: _____</p>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112703-198

In Re Application Of: **Barkalow, D., et al.**



Serial No.
10/036,681

Filing Date
November 8, 2001

Examiner
Unknown

Group Art Unit
Unknown

Title: **PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF MAKING THE SAME**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

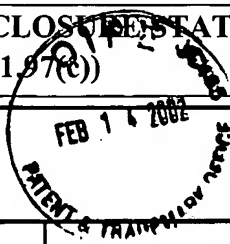
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
112703-198

In Re Application: Barkal w, D., et al.



Serial No.
10/036,681

Filing Date
November 8, 2001

Examiner
Unknown

Group Art Unit
Unknown

PULLULAN FREE EDIBLE FILM COMPOSITIONS AND METHODS OF MAKING THE SAME

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited February 6, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert Buccieri

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**

Signature

Dated: February 6, 2002

Robert M. Barrett (Reg. No. 30,142)

Bell, Boyd & Lloyd LLC

P.O. Box 1135

Chicago, Illinois 60690-1135

Tel: 312/807-4204

Fax: 312/372-2098

CC: